



PATENT

Attorney Docket No.: 50623.00041 (2742)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date: 7/26/01By: Sandy Yi
Sandy Yi

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| In re Application of: | |
| Wouter E. Roorda, et al. | Examiner: Unassigned |
| Serial No.: 09/894,293 | Art Unit: Unassigned |
| Filed: June 27, 2001 | |
| Title: METHODS OF COATING AN IMPLANTABLE DEVICE | |

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT PURSUANT TO
37 C.F.R. §§1.97-1.98

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present

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application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

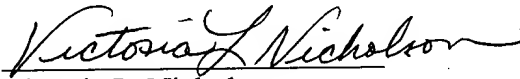
The present Information Disclosure Statement is being filed within three months of the filing date of the national application that is other than a continued prosecution application.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150. A duplicate of this transmittal is enclosed herewith.

Date: 7/25/01

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Respectfully submitted,


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PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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|--|-----------------------------|-------------------------------|--------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/894,293 | |
| | Filing Date | June 27, 2001 | |
| | First Named Inventor | Wouter E. Roorda, et al. | |
| | Group Art Unit | Unassigned | |
| | Examiner Name | Unassigned | |
| Total Number of Pages in This Submission | 40 | Attorney Docket Number | 50623.00041 (2742) |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (2 pages) (in duplicate) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Formal Drawing <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="text-align: center;"> Form 1449 (1 page) 4 References Acknowledgment Postcard </div> |
| <div style="border: 1px solid black; width: 100px; height: 20px; margin: 0 auto;">Remarks</div> | | |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
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| Firm or Individual name | Victoria L. Nicholson, Reg. No. 47,823 Squire, Sanders & Dempsey, L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043 |
| Signature | <i>Victoria L. Nicholson</i> |
| Date | July 25, 2001 |

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| Typed or printed name | Victoria L. Nicholson | | |
| Signature | <i>Victoria L. Nicholson</i> | Date | July 25, 2001 |

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